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Elizabeth A. Dudek

Typed or Printed Name of Person Sending Paper or Fee

Elizabeth A. Dudek 12 May 2004

Signature

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of )  
 )  
Andrew N. Brodersen, Jr., et al. )  
 )  
for MARKETING AND PURCHASING )  
COMPONENTS AND SERVICES )  
 )  
Serial No: 09/903,203 )  
 )  
Filed On: July 11, 2001 )

Group Art Unit: 3624

Our Docket No: 3309P-0145-2

Hartford, Connecticut, May 12, 2004

Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT

S I R:

Applicant(s) submits herewith Form PTO/SB/08A identifying patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Under 37 CFR 1.97 (b)

☒ This Information Disclosure Statement is being filed within three months of the filing date of the application, or the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Under 37 CFR 1.97 (c)

☐ This Information Disclosure Statement is being filed *after* three months of the filing date of this national application, or the date of entry into the national stage as set forth in §1.491 in an international application, or after the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

- ☐ A certification as specified in 37 CFR 1.97(e) is set forth below or
- ☐ Fee as set forth in 37 CFR 1.17(p) (\$180.00).

Under 37 CFR 1.97(d)

☐ This Information Disclosure Statement is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Applicant hereby petitions for the consideration of this Information Disclosure Statement, 37 CFR 1.97(d)(ii). A certification as specified in 37 CFR 1.97(e) is set forth below.

- ☐ A certification as specified in 37 CFR 1.97(e) is set forth below and
- ☐ Fee as set forth in 37 CFR 1.17(p) (\$180.00).

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**CERTIFICATION (37 CFR 1.97(e))**

☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. A copy of the relevant search report is enclosed herewith.

☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

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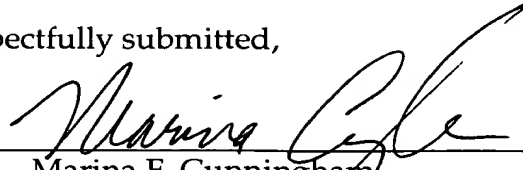
Under 37 CFR 1.98(a)(2)(i)

☐ Because the above-referenced application was filed after June 30, 2003, pursuant to USPTO Notice dated 7/11/2003 ("*Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications filed after June 30, 2003*") Applicant(s) do not provide copies of the U.S. patents identified on the attached PTO/SB/08A form at this time. Should the Examiner require the U.S. patent copies, Applicants respectfully request the Examiner contact Applicants' Representative at the number listed below.

Applicants respectfully request that any deficiencies in the fees be charged to  
Deposit Order Account No. 13-0235.

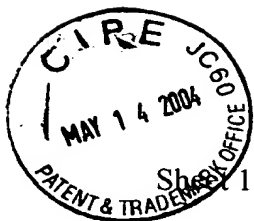
Respectfully submitted,

By

A handwritten signature in black ink, appearing to read "Marina F. Cunningham", is written over a horizontal line.

Marina F. Cunningham  
Registration No. 38,419  
Attorney for Applicant

McCormick, Paulding & Huber  
185 Asylum Street, CityPlace II  
Hartford, CT 06103-3402  
Phone: (860) 549-5290



Sheet 1 of 2

**FORM PTO-1449**

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)**

Docket No.: 3309P-145-2 U.S. Serial No.: 09/903,203  
Applicant: Andrew N. Brodersen, Jr., et al. U.S. Filing Date: 07/11/2001  
Title: MARKETING AND PURCHASING COMPONENTS AND SERVICES Group Art Unit: 3624

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Patent Number	Patent Date	Name	Class Subclass
	5,319,542	06/07/1994	King, Jr. et al.	364/401

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials	Document Number	Date	Country	Translation Yes No
	0 697 669 A2	02/21/1996	EP	X

**OTHER DOCUMENTS**

Examiner's  
Initials

Article entitled "A Brokerage Framework for Internet Commerce", by Martin Bichler, et al.; Distributed and Parallel Databases, April 1999, Kluwer Academic Publishers, Netherlands; Vol. 7, No. 2, pages 133-148.

Article entitled "Knowledgeable CyberBrokers for Electronic Markets" by Fawzi Daoud; Personal Technologies, 1998; Springer-Verlag, UK; pages 200-211.

Article entitled "Multi-Vendor Catalogs: Smart Catalogs and Virtual Catalogs" by Arthur M. Keller, et al.; EDI Forum, EDI Group, Oak Park, IL, US; Vol. 9 No. 3, September 1996; pages 87-93.

Article entitled "SAGE and Its Application to Electronic Commerce – SAGE: Francis: A System Based on "Virtual Catalog" " by Tamami Sugasaki, et al.; Systems & Computers in Japan, Scripta Technica Journals, New York, US; Vol. 30, No. 6; 15 June 1999; pages 36-46.

\_\_\_\_\_ Article entitled "Design and Implementation of a Brokerage Service for Electronic Procurement" by Martin Bichler, et al.; Database and Expert Systems Applications, 1999, Proceedings. Tenth International Workshop on Florence, Italy 1-3 Sept. 1999.

\_\_\_\_\_ Copy of European Search Report for Serial Number 00 31 1315 dated February 19, 2004.

EXAMINER

DATE CONSIDERED

\_\_\_\_\_  
**EXAMINER:**

\_\_\_\_\_  
Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).